

FORM PTO-1449

Docket Number (Optional)
P17145Application Number
10/712,207INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Applicant
Shah, et al.Filing Date
November 12, 2003Group Art Unit
2661

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE
AS	5	6	5	5	1	4	8		8/5/97	Richman, et al.			
AS	6	0	0	9	4	8	0		12/28/99	Pleso			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	Translation	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AS	PCT/US2004/036757 Invitation to Pay Additional Fees, with partial prior art search; mailed 4-29-2005
AS	Wolthusen, Stephen. "Goalkeeper: Close-In Interface Protection," Proc. 19 th Computer Security Applications Conference (ACSAC 2003), 8 pp.
AS	IBM Technical Disclosure Bulletin, "Horizontally Offset CRT for Direct Current-Coupled Resonant Flyback Deflection Circuits," Vol. 38, No. 10, October 1995; pp 441 - 444.
AS	IBM Technical Disclosure Bulletin, "Hardware Contention Serialization Algorithm," Vol. 38, No. 4, April 1995; pp. 73 - 77.

EXAMINER /Abdou Seye/

DATE CONSIDERED

02/10/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 809; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		10712207
Filing Date		2003-11-12
First Named Inventor	R. SHAH et al.	
Art Unit	2194	
Examiner Name	William D. Thompson	
Attorney Docket Number	P17145	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
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Application Number	10712207
Filing Date	2003-11-12
First Named Inventor	R. SHAH et al.
Art Unit	2194
Examiner Name	William D. Thompson
Attorney Docket Number	P17145

AS	1	PCT International Preliminary Report on Patentability (IPRP) and Written Opinion, May 26, 2006, for International Application No. PCT/US2004036757.	<input type="checkbox"/>
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EXAMINER SIGNATURE

Examiner Signature	/Abdou Seye/	Date Considered	02/17/2007
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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
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Examiner Name	William D. Thompson
Attorney Docket Number	P17145

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/David Victor/	Date (YYYY-MM-DD)	2006-08-24
Name/Print	David W. Victor	Registration Number	39,867

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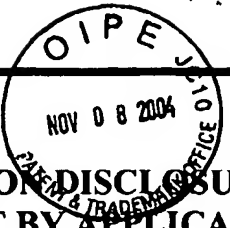
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FORM PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)				Docket Number (Optional) P17145		Application Number 10/712,207							
77.34				Applicant Shah, et al.		Group Art Unit 2661							
U.S. PATENT DOCUMENTS				Filing Date November 12, 2003									
EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE	
AS	5	9	8	7	5	5	4	11-16-99	Liu et al.				
FOREIGN PATENT DOCUMENTS													
	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
AS	0	5	0	6	2	7	8	9-30-02	EP			full	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)													
AS	PCT/US2004/036757 International Search Report & Written Opinion mailed 6-30-05												
EXAMINER	/Abdou Seye/							DATE CONSIDERED					02/10/2007
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Form 1449/PTO				Complete if Known		
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		First Named Inventor		R. Shah et al.		
		Art Unit		2661		
		Examiner Name		Not Yet Assigned		
Page	1	of	1	Attorney Docket Number		P17145

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AS		GLOGIC, "iSCSI Terminology: TCP/IP", <i>Technology Brief</i> , March 20, 2003, pp. 1-2.	
AS		SATRAN, J., K. METH, C. SAPUNTZAKIS, M. CHADALAPAKA, & E. ZEIDNER, "iSCSI", <i>Internet Draft</i> , Internet Engineering Task Force (IETF)- IP Storage Working Group, January 19, 2003, pp. 1-238.	

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